

**REMARKS**

Applicants respectfully request reconsideration of the present application in view of the following reasons. Claims 1-10 and 15 and 17-21 are pending in this application.

**I. Claim Rejections Under 35 U.S.C. § 112**

On page 2 of the Office Action, Claims 1-10, 15, and 17-21 were rejected under 35 U.S.C. § 112, first paragraph, as allegedly containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. In rejecting Claims 1-10 and 15 the Examiner stated:

Regarding claims 1 and 15, new subject matter, "wherein the data packet includes a unicast destination address corresponding to a mobile node; wherein the link-layer frame includes a broadcast address and the unicast destination address" and "wherein the broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame.", was not disclosed in the specification, as originally filed. Claims 2-10 are dependent claims.

(Office Action, page 2).

Claim 7 was rejected based upon the feature of "the unicast destination address is a network layer address." (Office Action, page 2). In the section of the Office Action entitled on Response to Arguments, the Examiner stated:

... the examiner has thoroughly reviewed the specification (especially paragraph 0037-0038 and 0041, as cited by the Applicant), and has found no conclusive evidence supporting the claimed limitations, "wherein the link-layer frame includes a broadcast address and the unicast destination address" and "the unicast destination address is a network layer address". Therefore, the rejection is proper.

(Office Action, page 5.)

Applicants respectfully submit that support for the above references features can be found in at least FIG. 1 and in paragraphs [0037] and [0038] as follows:

FIG. 1 shows a schematic diagram indicating the general mechanism of the present invention. According to this general multicast mechanism, when an access router (AR) 20 determines from a received data packet that it has to send a multicast data packet to a destination node, then the AR 20 broadcasts this multicast data packet to the link layer using a predefined link layer address (B LLA) for broadcasting purposes. This data packet can be an incoming multicast data packet in case of a normal multicast procedure, or a multicast data packet generated in the AR 20, e.g. a neighbour solicitation message in the address resolution protocol. In the latter case, the incoming data packet at the AR 20 is not a multicast data packet but a unicast one, and the generated multicast data packet is sent to the link layer. In case of the address resolution procedure, the IP packet included in the link layer frame is not the data packet received at the AR 20 but is another one generated in the AR 20 as a result of the address resolution procedure. Thus, the general mechanism can be applied when the AR 20 needs to send a multicast data packet to the link layer e.g. due to an incoming multicast data packet but also when a unicast data packet arrives at the AR 20 and the AR 20 does not know the link layer address associated with the network layer address of the received unicast data packet.

[0038] In the following, the case of a received unicast IP data packet is described with reference to FIG. 1. When the AR 20 cannot determine a link-layer address based on the given network-layer address, e.g. IP address, received in a header portion 61' of the received IP data packet with a payload portion 62', it recognizes that a packet has to be sent using the above multicast mechanism according to the present invention. To achieve this, the AR 20 generates a link-layer frame 70' with a broadcast link-layer address in its header portion 71' and the neighbor solicitation message in its payload portion 72'.

(Underlining and emphasis added). Further, in paragraph [0041]:

Every CAP (Cellular Access Point) of the cellular network accepts the broadcast link-layer frame 70' with the predetermined broadcast address "B LLA" and the encapsulated IP data packet 60' and checks the IP destination address of the desired mobile node. Then, only the CAP supporting the addressed mobile node forwards the broadcast link-layer frame 70' with the encapsulated IP data packet 60' to the addressed mobile node.

(Underlining added and emphasis added). The feature “wherein the data packet includes a unicast destination address corresponding to a mobile node” is disclosed at least in regards to the IP data packet 60 which contains as its destination address a mobile node. The feature “wherein the link-layer frame includes a broadcast address and the unicast destination address” is disclosed at least in regards to the link-layer packet that is broadcast from the AR using the broadcast address B\_LLA. Further, this link-layer packet contains the mobile node’s IP address in the header portion 61 of the encapsulated IP data packet. The feature “wherein the broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame” is disclosed at least in regards to every Cellular Access Point accepting the broadcast link-layer frame with the broadcast address B\_LLA. In one embodiment, after receiving the link-layer frame the Cellular Access Point processes the link-layer frame by checking the IP destination address. Finally, the feature of “wherein said unicast destination address is a network layer address” is disclosed at least in regards to the received unicast IP data packet which contains a network-layer address. For at least the above reasons, Applicants respectfully request withdrawal of the rejection.

In regard to Claims 17-21, the Examiner stated:

Regarding claim 17, new subject matter, "An article of manufacture including a computer-readable medium having instructions stored thereon that, If executed by a computing device, cause the computing device to perform operations comprising", was not disclosed in the specification, as originally filed. Claims 18-21 are dependent claims.

(Office Action, page 3).

Applicants respectfully submit that support for the above references features can be found throughout the application. Specifically, the claimed invention is directed to routing data packets to a connection-oriented server. In one embodiment of the invention the data packets that are routed are IP packets. One skilled in the art at the time the present application was filed would recognize that routing IP packets inherently uses processors, memory, and instructions stored on a computer-readable medium.

## **II. Claim Rejections Under 35 U.S.C. § 102**

On page 3 of the Office Action, Claims 1-6, 8, 9, and 15 were rejected under 35 U.S.C. 102(a) as being anticipated by alleged Applicant Admitted Prior Art (hereinafter "AAPA"). Applicants respectfully traverse the rejection. In the section of the Office Action entitled on Response to Arguments, the Examiner stated:

The Applicant state that, "AAPA does not disclose sending via the broadcast address the link-layer frame to a plurality of access devices wherein at least one access device supports the mobile node. In response to the Applicant's argument, AAPA disclose such limitation of sending the link-layer frame (fig. 4/no. 70) via the broadcast address (fig. 4/no. 71) and wherein the packet is sent to the support access devices (see fig. 4, paragraph 0002-0009). Therefore, the rejection is proper.

(Office Action, pages 5-6)

Applicants respectfully disagree; however, Applicants amended Claims 1 and 15 on December 1, 2009 to clarify the claimed subject matter and further prosecution. The previously amended independent Claim 1 recites in part:

generating a link-layer frame, wherein the link-layer frame includes a broadcast address and the unicast destination address; and

sending, via the broadcast address, the link-layer frame to a plurality of access devices, wherein at least one access device of the plurality of access devices supports the mobile node, and wherein the broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame.

(underlining added.) The previously amended independent Claim 15 recites in part:

an addressing unit configured to generate a link-layer frame if the link-layer address corresponding to the mobile node is not available, wherein the link-layer frame includes the unicast destination address and a broadcast address; and

a forwarding unit configured to forward, via the broadcast address, the link-layer frame to a plurality of access devices, wherein at least one access device of the plurality of access devices supports the mobile node, and wherein the broadcast address is configured such that each of the plurality of access

devices does not have to join the broadcast address in order to process the link-layer frame.

(underlining added.) The alleged AAPA fails to disclose at least these features, specifically, a broadcast address.

Applicants previously amended Claims 1 and 15 to further clarify the term “broadcast address.” Claims 1 and 15 now recite “wherein the broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame.” Support for the amendment is in at least **Para. [0009]** and **[0041]**.

The Examiner points to the text associated with FIG. 4 as the alleged AAPA. In particular, the Examiner states that “generating a link-layer frame includes a broadcast address (FIG. 4/no. 61).” Element 61 of FIG. 4 is discussed as follows:

When the IP data packet 60 which comprises a header portion 61 and a payload portion 62 arrives at the AR 20, the AR 20 derives the respective routing interface from the routing table 80 and uses the neighbour cache of the derived interface to obtain the corresponding link-layer address (“MN\_LLA”) of the mobile node.

(**Para. [0007]**; Underlining added). Thus, header portion 61 is merely an IP address of a packet incoming to an access router.

In contrast, Claim 1 recites: “receiving the data packet, wherein the data packet includes a unicast destination address corresponding to a mobile node; [and] generating a link-layer frame, wherein the link-layer frame includes a broadcast address **and** the unicast destination address.” Similar features are recited in Claim 15. Hence, the header portion 61 cannot be a “broadcast address” as in Claims 1 and 15. Further, paragraph **[0009]** states:

When an IP data packet addressed to the IP address of a mobile node arrives at the AR 20 and the AR 20 does not know the respective link-layer address, this event provokes the address resolution procedure at the network layer which procedure needs to multicast a **neighbour solicitation message** addressed to a network-layer address for multicast purpose, i.e. a **solicited-node multicast address** corresponding to the target IP address. Thus, the IP data packet to be sent is not

the incoming IP data packet but the neighbour solicitation message used for learning the link-layer address of the mobile node. At link level, the link-layer frame is addressed to a well know link-layer address for multicast purposes, since the AR 20 is not aware of the link-layer address of the mobile node. This message will reach every mobile node but only those joining this network-layer address for multicast purpose will process the neighbour solicitation message. However due to the connection-oriented nature of the cellular-based system, there is no possibility to broadcast this kind of messages, i.e. messages with multicast purpose in general, to every mobile node. Thus, the IP data packets have to be forwarded to every mobile node one by one over the radio link. This leads to an increased load and wasted bandwidth of the radio link.

(Emphasis added.) Thus, the alleged AAPA simply discloses a standard neighbour solicitation message. Only access points that have joined a particular network-layer address for multicast purpose will process the neighbour solicitation message. (Para. [0009]). Consequently, due to the connection-oriented nature of a cellular-based system, a broadcast message is not possible. (Para. [0009]).

Hence, the alleged AAPA does not disclose “sending, via the broadcast address, the link-layer frame to a plurality of access devices, wherein at least one access device of the plurality of access devices supports the mobile node, and wherein the broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame,” as recited by Claim 1, or “a forwarding unit configured to forward, via the broadcast address, the link-layer frame to a plurality of access devices, wherein at least one access device of the plurality of access devices supports the mobile node, and wherein the broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame,” as recited by Claim 15. For at least these reasons, Applicants submit that Claims 1 and 15 are patentable over the alleged AAPA.

An anticipation rejection cannot be properly maintained where the reference used in the rejection does not disclose all of the recited claim elements. Claims 1-6, 8, and 9 include the elements of Claim 1. Applicants submit that Claim 7, which includes elements of Claim 1, is also patentable over the alleged AAPA for at least the above reasons. Therefore,

Applicants respectfully request withdrawal of the rejection of Claims 1-9 and 15. For at least the same reasons, Applicants submit that new Claims 17-21 are patentable over the alleged AAPA.

### **III. Claim Rejections Under 35 U.S.C. § 103**

On page 4 of the Office Action, Claim 10 was rejected under 35 U.S.C. 103(a) as being unpatentable over alleged AAPA in view of U.S. Patent No. 7,339,928 to Choyi (hereafter "Choyi"). Applicants respectfully traverse the rejection.

Choyi merely teaches various multicast approaches as they relate to foreign mobility and handoffs between cells. (Col. 2, lines 46-57). In particular, Choyi discusses HAWAII, Cellular IP, the Singapore University Proposal, Hierarchical Micro Mobility, Multicasting Based Architecture for Internet Host Mobility, and the Multicast Micro-Mobility (MMM) Protocol. (*Id.*). However, as argued in the previous response dated May 28, 2009, Choyi does not disclose "sending, via the broadcast address, the link-layer frame to a plurality of access devices, wherein at least one access device of the plurality of access devices supports the mobile node, and wherein the broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame," as recited by Claim 1.

As argued above in **Section II**, the alleged AAPA does not disclose "sending, via the broadcast address, the link-layer frame to a plurality of access devices, wherein at least one access device of the plurality of access devices supports the mobile node, and wherein the broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame," as recited by Claim 1.

Therefore neither the alleged AAPA nor Choyi, alone or in combination, disclose "sending, via the broadcast address, the link-layer frame to a plurality of access devices, wherein at least one access device of the plurality of access devices supports the mobile node, and wherein the broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame," as recited by Claim 1. For at least these reasons, Applicants submit that Claim 1 is patentable over the alleged AAPA and Choyi.

An obviousness rejection cannot be properly maintained where the references used in the rejection do not disclose all of the recited claim elements. Claim 10 includes the elements of Claim 1. Therefore, Applicants respectfully request withdrawal of the rejection of Claim 10. For at least the same reasons, Applicants submit that new Claims 17-21 are patentable over the alleged AAPA and Choyi, alone or in combination.

#### **IV. The Office Action Has Failed to Respond to Applicants' Previous Arguments**

In the Office Action dated September 2, 2009, the Examiner rejected Claims 1-10 and 15 under 35 U.S.C. § 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention. Specifically, the Examiner argued that new matter were the limitations of "wherein the data packet includes a unicast destination address corresponding to a mobile node;" and also "wherein the link-layer frame includes a broadcast address and the unicast destination address."

In the Office Action Response filed on December 1, 2009, Applicants argued that claims 1-10 and 15 claimed subject matter that was described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention. Specifically, Applicants argued that paragraphs [0037], [0038] and [0041] contained support for the claim limitation "wherein the data packet includes a unicast destination address corresponding to a mobile node."

In the instant Office Action, the Examiner repeated the 35 U.S.C. § 112, first paragraph, rejection from the September 9, 2009 Office Action. The Examiner acknowledged Applicant's Response in regards to the claim limitation of "wherein the link-layer frame includes a broadcast address and the unicast destination address" in the instant Office Action. The Examiner, however, failed to respond to Applicant's arguments with respect to the "wherein the data packet includes a unicast destination address corresponding to a mobile node" limitation.



In addition, in the Office Action dated September 2, 2009, the Examiner rejected Claims 1-6, 8-9, and 15 under 35 U.S.C. § 102(a) as being anticipated by alleged Applicant Admitted Prior Art ("AAPA"). In the Office Action Response filed on December 1, 2009, Applicants amended Claims 1 and 15 in light of Examiner's 35 U.S.C. § 102(a) rejections and argued for the patentability of the claims. For clarity, Claims 1 and 15 were amended on December 1, 2009 to include the limitation of "wherein the broadcast address is configured such that each of the plurality of access devices does not hat to join the broadcast address in order to process the link-layer frame." In the instant Office Action, the Examiner stated the following reasons for rejecting Claims 1 and 15:

As per claims 1 and 15, AAPA disclosed a method of forwarding a data packet wherein receiving the data packet wherein the data packet includes a destination address (fig. 4/no. 61), generating a link-layer frame includes a broadcast address (fig. 4/no. 61) and the link-layer destination address (fig. 4/no. 71) of the mobile node, and sending via the broadcast address the link-layer frame to a plurality of access devices wherein at least one access device supports the mobile node (fig. 4, paragraph 0002- 0009).

(Office Action, page 3). Further, in the section entitled Response to Arguments, the Examiner responded to Applicants December 1, 2009 arguments by stating:

The Applicant state that, "AAPA does not disclose sending via the broadcast address the link-layer frame to a plurality of access devices wherein at least one access device supports the mobile node." In response to the Applicant's argument, AAPA disclose such limitation of sending the link-layer frame (fig. 4/no. 70) via the broadcast address (fig. 4/no. 71) and wherein the packet is sent to the support access devices (see fig. 4, paragraph 0002-0009). Therefore, the rejection is proper.

(Office Action, pages 5-6). The Examiner appears to have inadvertently missed a number of Applicant's arguments, including Applicant's amendments. As evidenced by Examiner's incomplete quotation of Applicant's argument from the December 1, 2009 Office Action which read:

Hence, the alleged AAPA does not disclose "sending, via the broadcast address, the link-layer frame to a plurality of access devices, wherein at least one access device of the plurality of access devices supports the mobile node, and wherein the

broadcast address is configured such that each of the plurality of access devices does not have to join the broadcast address in order to process the link-layer frame,” as recited by Claim 1[.]

(December 1, 2009 Office Action, page 10) (emphasis in original)

Again in the September 2, 2009 Office Action, the Examiner rejected Claim 10 under 35 U.S.C. § 103(a) as being unpatentable over the AAPA in view of U.S. Patent No. 7,339,928 to Choyi (“Choyi”). In the Office Action Response filed on December 1, 2009, Applicants argued for the patentability of Claim 10 over the cited prior art. In the instant Office Action, the Examiner repeated the 35 U.S.C. § 103(a) rejection based on the AAPA in view of Choyi. However, in the section entitled Response to Arguments in the instant Office Action, the Examiner failed to address Applicants arguments filed on December 1, 2009 and did not discuss Claim 10 at all.

MPEP 707.07(f) reads:

In order to provide a complete application file history and to enhance the clarity of the prosecution history record, an examiner must provide clear explanations of all actions taken by the examiner during prosecution of an application.

Where the requirements are traversed, or suspension thereof requested, the examiner should make proper reference thereto in his or her action on the amendment.

*Where the applicant traverses any rejection, the examiner should, if he or she repeats the rejection, take note of the applicant's argument and answer the substance of it.*

...

**The examiner must address all arguments which have not already been responded to in the statement of the rejection.**

(Emphasis added.) Because all of Applicants’ arguments regarding the 35 U.S.C. §112, para. 1 and 35 U.S.C. 102(a) and 35 U.S.C. § 103(a) rejections were not addressed as disclosed above, Applicants respectfully submit that the instant Office Action is incomplete. Accordingly, if not all of the pending claims are allowed in view of the following reasons, any subsequent Office Action should be non-final.

Applicants believe that the present application is now in condition for allowance. Favorable reconsideration of the application as amended is respectfully requested.

The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance the prosecution of the present application.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C. F. R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check or credit card payment form being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, Applicants hereby petition for such extension under 37 C. F. R. §1.136 and authorize payment of any such extensions fees to Deposit Account No. 19-0741.

Respectfully submitted,

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